## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549	

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number:	3235-0287							
Estimated average burden								
hours per response:								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Finney Michael J.					2. Issuer Name and Ticker or Trading Symbol  Vaxart, Inc. [ VXRT ]										(Che	eck all applic Directo	able)	orting Person(s) to Issu 10% Ow		vner	
(Last) (First) (Middle) C/O VAXART, INC. 170 HARBOR WAY, SUITE 300					3. Date of Earliest Transaction (Month/Day/Year) 06/18/2021										below)	Officer (give title below)		Other (s below)	респу		
(Street) SOUTH FRANCE	ISCO C	A state)	94080 (Zip)		4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)							Line	) X Form fi Form fi	ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person						
		Та	ble I - Nor	n-Deriv	ative	e Se	cur	ities Ac	qui	ired,	Dis	posed c	of, or	Ben	eficially	/ Owned					
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)		ear)	2A. Deemed Execution Date, if any (Month/Day/Year)		·,  ;	3. Transaction Code (Instr. r) 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			(A) or . 3, 4 and !	Beneficia Owned F	s ally ollowing	Form	: Direct Indirect Istr. 4)	7. Nature of Indirect Beneficial Ownership			
								Code	v	Amount		(A) or (D)	Price	Reported Transact (Instr. 3 a	ion(s)			Instr. 4)			
Common	Stock			06/18	18/2021					M		9,00	0	A	\$0.68	3 461	,572	D			
Common	Stock			06/18	3/202	1				M		12,70	00	A	\$0.68	3 474	474,272 D				
Common	Stock			06/18	3/202	1				Х		181,8	18	A	\$1.1	\$1.1 656,090 D					
			Table II -									osed of, onverti				Owned		,	,		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/	ate, Tra	ode (In:	nsaction de (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisa Expiration Date (Month/Day/Yea			7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		s Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio	e s Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Co	ode V	v	(A)	(D)	Date Exe	te ercisabl		xpiration ate	Title		Amount or Number of Shares		(Instr. 4)	on(s)			
Stock Option (right to buy)	\$0.68	06/18/2021		1	М			9,000		(1)	0	6/11/2029	Comi		9,000	\$0	0		D		
Stock Option (right to	\$0.68	06/18/2021		1	М			12,700		(1)	0	6/11/2029	Comi		12,700	\$0	0		D		

### Explanation of Responses:

\$1.1

Common

Purchase

1. The shares subject to this option are fully vested

/s/ Margaret Echerd, Attorneyin-Fact for Michael J. Finney

181,818

stock

06/21/2021

D

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

06/18/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

181,818

04/11/2019

04/11/2024

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### POWER OF ATTORNEY

Know all by these presents that the undersigned hereby constitutes and appoints each of Andrei Floroiu, Margaret Echerd, Faith L. Charles, Esq., Jennifer A. Val, Esq., and Kaoru C. Suzuki, Esq., or any of them signing singly, and with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

- 1. prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S. Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC;
- 2. execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Vaxart, Inc. (the "*Company*"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;
- 3. do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form with the SEC and any stock exchange or similar authority; and
- 4. take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 16th day of August 2020.

/s/ Michael Finney
Name: Michael Finney