SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br><u>DOUGHERTY MICHAEL R</u>   |   |       | . Date of Event<br>Requiring Staten<br>Month/Day/Year<br>15/01/2013 | nent               | 3. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Biota Pharmaceuticals, Inc. [ BOTA ] |                            |  |                   |  |  |   |
|--|---|-------|---|--------------------|--|----------------------------|--|-------------------|--|--|---|
| (Last)   | (Last) (First) (Middle)<br>12270 WILKINS AVENUE |       |   |                    | 4. Relationship of Reporting Perso<br>(Check all applicable)<br>X Director                 |                            | on(s) to Issuer<br>10% Owner           |                   | 5. If Amendment, Date of Original Filed (Month/Day/Year) |  |   |
| (Street)   |   |       |   |                    | 21   | Officer (give title below) | Other (spe<br>below)                   | cify 6. In<br>App |  | Individual or Joint/Group Filing (Check<br>oplicable Line)<br>X Form filed by One Reporting Person |   |
| ROCKVILLE  | MD  | 20852 |   |                    |  |                            |  |                   |  | Form filed by One Reporting Person   |   |
| (City)   | (State)   | (Zip) |   |                    |  |                            |  |                   |  |  |   |
| Table I - Non-Derivative Securities Beneficially Owned   |   |       |   |                    |  |                            |  |                   |  |  |   |
| 1. Title of Security (Instr. 4)  |   |       |   |                    | 2. Amount of Securities<br>Beneficially Owned (Instr. 4)                                   |                            |  |                   | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |  |   |
| Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |   |       |   |                    |  |                            |  |                   |  |  |   |
| 1. Title of Derivative Security (Instr. 4)   |   |       | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)      |                    | 3. Title and Amount of Securi<br>Underlying Derivative Securit                             |                            |  | or Exe            |  | 5.<br>Ownership<br>Form:<br>Direct (D)   | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
| Explanation of R   |   |       | Date<br>Exercisable   | Expiratior<br>Date | n<br>Title   | e                          | Amount<br>or<br>Number<br>of<br>Shares | Deriva<br>Securi  | tive   | Direct (D)<br>or Indirect<br>(I) (Instr. 5)  |   |

Remarks:

No securities are beneficially owned.

/s/ Michael Dougherty

05/03/2013

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.