FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden 0.5 hours per response:

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person Biota Pharmaceuticals, Inc. [ BOTA ] PLUMB RUSSELL H Director 10% Owner Officer (give title Other (specify below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/11/2016 Chairman 2500 NORTHWINDS PARKWAY STE 100 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Form filed by One Reporting Person 30009 ALPHARETTA GA Form filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature Execution Date. Transaction Securities Form: Direct of Indirect (Month/Day/Year) if any (Month/Day/Year) (D) or Indirect (I) (Instr. 4) Code (Instr. 5) Beneficially Beneficial Owned Following Ownership 8) Reported (Instr. 4) (A) or (D) Transaction(s) Code ٧ Amount Price (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative

						of (D) (Instr. 3, 4 and 5)							Transaction(s) (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$1.37	02/11/2016	02/11/2016	A		9,167		(1)	02/11/2026	Common Stock	9,167	\$1.37	9,167	D	

6. Date Exercisable and

Expiration Date

(Month/Day/Year)

7. Title and

Amount of

Securities Underlying

(Instr. 3 and 4)

8. Price of

Derivative

Security

(Instr. 5)

9. Number of

derivative

Securities

Owned Following

Beneficially

11. Nature

of Indirect

Beneficial

Ownership

Ownership

orm:

Direct (D)

or Indirect

(I) (Instr. 4)

5. Number

Derivative

Securities

(A) or

Transaction Code (Instr.

8)

## Explanation of Responses:

Conversion

or Exercise

Price of

Security

Security (Instr. 3)

1. This stock option vests 6,122 shares on March 12, 2016, 1,528 shares on April 12, 2016 and 1,527 shares on May 12, 2016.

/s/ Peter Azzarello attorney-in-03/04/2016 fact for Russell Plumb

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3A. Deemed

**Execution Date** 

(Month/Day/Year)

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

3. Transaction

(Month/Day/Year)

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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