SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Instruction 1(b).		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934		hours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addre	ess of Reporting Pers	son*	2. Issuer Name and Ticker or Trading Symbol <u>Vaxart, Inc.</u> [VXRT]		ionship of F all applicab Director Officer (gi below)	,	on(s) to Issuer 10% Owner Other (specify below)	,
(Last) (First) (Middle) C/O VAXART, INC. 170 HARBOR WAY, SUITE 300			3. Date of Earliest Transaction (Month/Day/Year) 08/10/2021		SVP, Chief Scient		,	
(Street) SOUTH SAN FRANCISCO	СА	94080	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line) X	Form filed	by One Repor	(Check Applicat ting Person One Reporting	Jle
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/10/2021		S ⁽¹⁾		4,172	D	\$10.2	43,881	D	
Common Stock	08/10/2021		S ⁽¹⁾		5,828	D	\$10.2	51,465	I	By joint tenancy with Frances Chang and Sean Tucker
Common Stock								9,060	Ι	By Spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		1		,	,		,	• •				, 					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	rities lired r osed) r. 3, 4	Expiration Date (Month/Day/Year) ed ed		Expiration Date		Date Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 25, 2021.

/s/ Sean N. Tucker	
** Cignoture of Departing Dereon	

08/11/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.